

09/1615

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/944,951
Filing Date	August 31, 2001
First Named Inventor	Lo, Yuk Ming Dennis
Group Art Unit	1615
Examiner Name	
Attorney Docket Number	016285-002500US

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement with 47 references  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input checked="" type="checkbox"/> Power of Attorney  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard, Statement Under 3.73(b)
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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

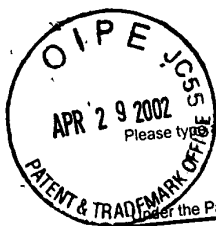
Firm and Individual name	Townsend and Townsend and Crew LLP Kenneth A. Weber	Reg. No. 31,677
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Date	April 24, 2002	

## CERTIFICATE OF MAILING

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/944,951
Filing Date	08/31/2001
First Named Inventor	LO YUK MING, Dennis
Title	METHODS FOR DETECTING DNA ORIGINATING FROM DIFFERENT INDIVIDUALS
Group Art Unit	1615
Examiner Name	
Attorney Docket Number	016285-002500US

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

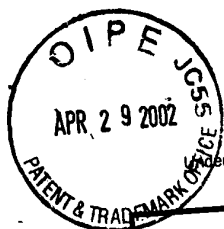
SIGNATURE of Applicant or Assignee of Record

Name	Alice Ngan Yip, Director of Research and Technology Administration Office
Signature	
Date	17 January 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

X \*Total of 1 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Dennis LO YUK MING and POON LIT MAN

Application No./Patent No.: 09/944,951 Filed/Issue Date: 08/31/2001

Entitled: METHODS FOR DETECTING DNA ORIGINATING FROM DIFFERENT INDIVIDUALS

The Chinese University of Hong Kong, a university.

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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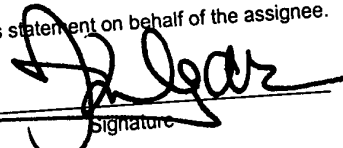
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

17 January 2002  
Date

  
Signature

Alice Ngan Yip  
Typed or printed name

Director of Research and Technology  
Administration Office

Title

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ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, LO Yuk Ming Dennis of Flat 15C, Arran Court, 2 Shek Ku Street, Homantin, Kowloon, Hong Kong; and POON Lit Man of Flat 21H, Blk 2, Phase 3, Belvedere Garden, Tsuen, N.T., Hong Kong, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

METHODS FOR DETECTING DNA ORIGINATING  
FROM DIFFERENT INDIVIDUALS

Date(s) of execution of Declaration:

Filing Date:

August 31, 2001

Application No.:

09/944,951; and

WHEREAS, The Chinese University of Hong Kong, a corporation of Hong Kong, located at Room 226, Pi Chiu Building, Shatin, N.T., SAR, Hong Kong, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

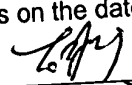

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

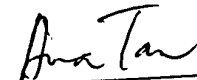
*Exhibit to Cert. 3.73 (b) -  
not for recitation*

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated:

Date: 14 Dec 2001

  
~~Dennis~~ Lo Yuk Ming ~~Dennis~~ 

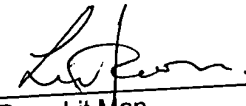
WITNESSES:

  
(Signature)

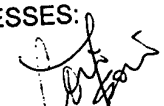
ANNA TAN  
(Typed or printed name:

Date: 14 Dec 2001

Date: 14 Dec 2001

  
Poon Lit Man

WITNESSES:

  
(Signature)

TSOI LAI KING  
(Typed or printed name:

Date: 14 Dec 2001

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Typed or printed name:

Date: \_\_\_\_\_